REPORT DOCUMENTATION PAGE

Form Approved OMB No. 0704-0188

The public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing the burden, to the Department of Defense, Executive Services and Communications Directorate (O704-0188). Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to any penalty for failing to comply with a collection of information if it does not display a currently valid OMB

control number. PLEASE DO NO	T RETURN YOU	R FORM TO TH	IE ABOVE ORGANIZATIO	ON.			
1. REPORT DA	ATE (DD-MM-YY	YY) 2. REPO	ORT TYPE			3. DATES COVERED (From - To)	
4. TITLE AND SUBTITLE					5a. CONTRACT NUMBER		
					5b. GRANT NUMBER		
					SD. GRANT NOWBEN		
					5c. PROGRAM ELEMENT NUMBER		
6. AUTHOR(S)					5d. PROJECT NUMBER		
o. Aornon(s)							
					5e. TASK NUMBER		
					5f. WORK UNIT NUMBER		
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)						8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)						10. SPONSOR/MONITOR'S ACRONYM(S)	
						11. SPONSOR/MONITOR'S REPORT	
						NUMBER(S)	
12. DISTRIBUT	ION/AVAILABILI	TY STATEMENT	Г			<u> </u>	
12 CUDDIEME	NITADY NOTES						
13. SUPPLEMENTARY NOTES							
14. ABSTRACT	Γ						
15. SUBJECT	TERMS						
16 SECURITY	CI ASSIEICATIO	N OE:	17. LIMITATION OF	18. NUMBER	100 NIAB	ME OF RESPONSIBLE PERSON	
a. REPORT	b. ABSTRACT c. T		ABSTRACT	OF PAGES			
					19b. TEL	EPHONE NUMBER (Include area code)	

PUBLICATION OR PRESENTATION RELEASE REQUEST

15-123 Jubikey: 9620 320 NRLINST 0.40

1. REFERENCES AND ENCLOSURES	2. TYPE OF PUBLICATION OR PRESENT	ATION	3. ADMINISTRATIVE INFORMATION							
Ref: (a) NRL Instruction 5600.2		Abstract only, not publish	strn NRL/PP/7330-15-2537							
(b) NRL Instruction 5510,40E		Book chapter	Route Sheet No. 7330/							
Enct. (1) Two copies of subject	t to Confession Design diame	Multimedia report	Job Order No. 73-4951-05-5							
publication/presentation	(refereed)	Conference Proceedings (not refereed)	Classification U S C							
		Journal article (not refere								
	() Oral Presentation, published ()	Oral Presentation, not pu	Sponsor ONR BASE							
	() Video () Poster ()	Other, explain	Sponsor's approval yes 'vallached							
			(*Required if research is otheran 6.1/6.2							
	ALL DOCUMENTS/PRESENTATIONS	NRL or ONR unclassified research or if								
4 AUTHOR publication/presentation is classified										
Title of Paper or Presentation										
Optical Turbulence in the Ocean										
AUTHOR(s) LEGAL NAMES(s) OF RECORD (First, MI, Last), CODE, (Affiliation if not NRL).										
Weilin Hou 7333.	, , , , , , , , , , , , , , , , , , , ,	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,								
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,										
This paper will be presented at the	OSA Imaging and Applied Optics Me	eting								
		(Name of Conference	2)							
07-JUN - 11-JUN-15, Arlington, VA, Uncl	assified		*							
)	(Date, Place and Classification		*							
and/or for published in OSA Imagir	ng and Applied Optics Meeting, Unclassifi	ied								
THE RESIDENCE OF THE PARTY OF T	ne and Classification of Publication)		(Name of Publisher)							
5. CERTIFICATION OR CLASSIFICATIO	N									
It is my opinion that the subject paper (is) (is not x) classified, in accord	lance with reference (b) and this paper does not violate any disclosure							
			1 40 mm							
of trade secrets or suggestions of outside) molylocals of concerns which have bee	n communicated to the	NRL in confidence.							
This subject paper (has) (has never	r) been incorporated in an official t	NRL Report.								
	×									
Weilin Ho	u. 7333		1-5 Non							
Name and Code (Principal A		Signature Only)	(Signature)							
6. ROUTING/APPROVAL (NOTE: If name other	ir than your legal name of record is annotat	ed on the publistion or s	presentation itself, add an explanatory note in the							
comments section below nest to your sign	ed legal name of record)									
CODE	SIGNATURE	DATE	COMMENTS							
Co-Author(s) Weilin Hou, 7333	16 Han	4/8/15	Need by 29 Avov 2015							
		7/3//3	Meed by Do (FLVV - FOLD							
Andrews / March		waxaan								
	constitution in the second	- 1714								
			This is a Final Security Review.							
			Any changes made in the document,							
Section Head	~ = !!		after approved by Code 1231,							
	C) An		nullify the Security Review.							
Branch Head Richard L. Crout, 7330	7001	4-8-2015	11011114 (1112 2011) 137							
Division Head	enter m	4-8-2013								
DIVISION FIELD			To the best knowledge of this Division, the subject matter of this publication (has) (has never Xbeen classified.							
Ruth H. Prelier, 7300	\rightarrow	10.1								
V	lies The July	419115	This paper (does) (does notX) contain any militarily critical technology.							
ADOR/Director NCST										
E. R. Franchi, 7000			1							
DOI/ICO	-		The state of the s							
			λ(1)							
Security, Code 1231	Due ta	HIDOLK	A copy of the paper, abstract or presentation is filed in this office.							
Associate Counsel,Code 1008.3	Bush invention memo	110010	Anna Augus							
Public Affairs (Unclassified)	TO THE INVENTION IN SIMO	5-3.18	A CALL COLOR							
Inlimited Only), Code 7030.4	hannon Mensi	4-27-15								
Ivision, Code	Variation and variation products at									
Author, Code			, , , , , , , , , , , , , , , , , , , ,							
sucres, Code										

Optical Turbulence in the Ocean (invited)

Weilin Hou

U.S. Naval Research Laboratory, Ocean Optics, Sensors and Systems, Stennis Space Center, MS 39529, USA

Abstract: The established view of diver visibility has been mainly focused on the effects of particles or turbidity of the water. The influence of optical turbulence due to variations of ocean temperature and salinity is shown to contribute to the degradation, through model and field validation. Lab setup of a simulated environment and future directions are also discussed. **OCIS codes:** (010.7295) Visibility and imaging; (010.4450) Ocean optics; (010.7060) Turbulence

1. Introduction

Researchers of light transmission in the ocean have been long aware of the impact of optical turbulence on the signal transmission. While some might disagree with the term, as it is not the source of the turbulence, but rather the influences of temperature and/or salinity variations of the microstructures in the ocean, the effects have been observed and postulated to be mainly forward dominant. Classical works by several AGARD lecturers, such as Wells [1] discussed their impacts. Field demonstration showed that under extreme conditions, the degradation on image quality by optical turbulence can overtake that of turbidity [2]. Numerical simulations of small turbulence tank (30cm) also showed that the forward scattering can be orders of magnitude higher than the particle scattering, particularly in open oceans [3]. Efforts have been put in to quantify the turbulence process itself, by examining the scattering properties using existing sensor arrays such as wavefront sensor [3], although few quantative results are available, to link the level of turbulence intensity to the optical signal transmission intensity. Directional change of polarization states have been examined in theory [4] and experiments [5], as a function of turbulence intensities, although concurrent measurements and model is still missing. Borrowing techniques from atmospheric turbulence research, we developed a theoretical model based on the modulation transfer function of the medium and turbulence, and subsequently validated by field efforts, which is discussed in Section 2. To better quantify this stochastic process, and develop means of mitigation, a controlled lab environment has been setup, to simulate various optical turbulence intensities by using a Rayleigh-Bénard convection tank. The tank is capable of generating and maintaining turbulence with Kolmogorov-type energy dissipation spectrum, as shown in Section 3. The tank setup has been guided by both numerical experiment using Large Eddy Simulation model, and measurements using acoustic Doppler velocimeter (ADV), and faster temperature probes. However, issues are abundant and require better lab measurements. A new type of sensor is under development and initial results are presented in Section 4.

2. SUIM and field validation

Little has been done in terms of quantifying the influence of underwater optical turbulence to signal transmission. A simple underwater imaging model (SUIM) was developed[6], based on similar approach from atmospheric optics, incorporating factors affecting the index of refraction (IOR) due to microstructure variations caused by temperature or/and salinity fluctuations in the ocean. Optical Transfer Functions (OTF), or Modulation Transfer Functions (MTF), are used when phase information is not a factor, to quantify the impacts from turbulence. Briefly, the combined effects from both turbidity and turbulence can be written as [6]

$$OTF(\psi, r)_{total} = OTF(\psi, r)_{path} OTF(\psi, r)_{par} OTF(\psi, r)_{tur}$$

$$= \left(\frac{1}{1+D}\right) \exp\left[-cr + br\left(\frac{1-e^{-2\pi\theta_0\psi}}{2\pi\theta_0\psi}\right)\right] \exp\left(-S_n\psi^{5/3}r\right)$$

$$= \left(\frac{1}{1+D}\right) \exp\left\{-\left[c - b\left(\frac{1-e^{-2\pi\theta_0\psi}}{2\pi\theta_0\psi}\right) + S_n\psi^{5/3}\right]r\right\}$$
(1)

where $S_n=1736K_3\lambda^{-1/3}$, and the $K_3=B_1\chi\varepsilon^{-1/3}$. Ψ is the angular spatial frequency Ψ and r is the transmission range. b, θ_0 and c are the scattering coefficient, mean scattering angle and beam attenuation coefficient, respectively. D is the normalized radiance, and the first term describes the effects of the path radiance. Utilizing Image Measurement

Assembly for Subsurface Turbulence (IMAST), we validated the above model during Skaneateles Optical Turbulence Experiment (SOTEX, [7]), and Bahamas Optical Turbulence Experiment (BOTEX, [7-9]).

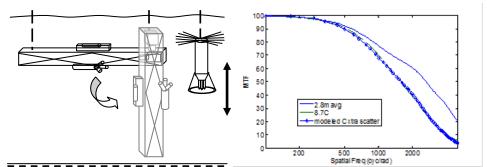


Figure 1. Validation of SUIM. Left: field setup; Right: compared measurement to model results. Details see Hou et al, 2012.

3. Simulated Turbulence Environment

One of the main obstacles in studying turbulence is the stochastic nature of the process. In order to have a more controlled setting, we set up a simulated environment using a Rayleigh-Bénard (RB) convection tank (Fig.2). Heating and cooling are realized by two stainless steel plates with fluid flowing through at a preset temperature inside.

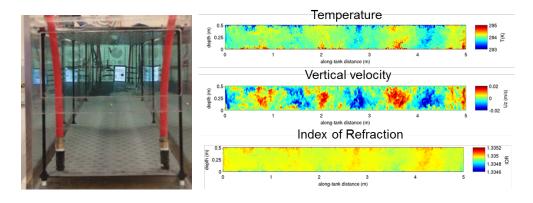


Figure 2. Rayleigh-Bénard convective tank setup (left) and numerical simulation results (right).

While the process itself can be seen actively generate turbulence, it is important to examine the energy dissipation scheme to ensure the setup follows naturally occurring mixing, such as the Kolmogorov type. Our analysis confirms such behavior, as shown in Fig. 3 ([10]).

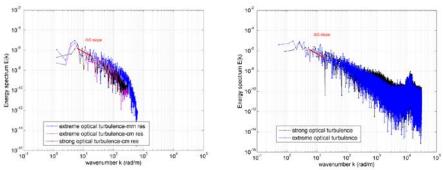


Figure 3. Numerical model spectrum (left) and measurement results (right) confirms Kolmogorov spectrum slope of -5/3.

4. Better Sensing Capability

One of the issues quantifying the optical turbulence underwater is the difficulty obtaining high-speed, high-resolution data associated with the process. To overcome such challenge, we developed a novel temperature sensor, capable of 1khz sampling with 1/1000 °C accuracy[11]. This is based on a fiber optics sensor head, using a silicon pillar attached to the end of the fiber. Figure 4 depicts the actual sensor and measurement from our RB tank.

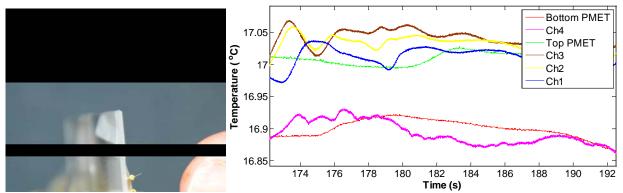


Figure 4. A new novel fiber temperature sensor (left) and the measurement results from the RB tank, compared to two FP07s (marked "PMET"). Fiber sensors (ch1 -4) are placed within 5mm to nearby FP07.

5. Summary

We present a quick summary of optical turbulence research in the ocean, along with new modeling and measurement approach to mitigate the impacts on optical signal transmission. A quantified model can be used to estimate the optical turbulence vs turbidity on image degradation in the ocean. A simulated environment can be used to better examine the stochastic process, and means to mitigate such influence. Finally, a new thermometer is developed to better quantify the small scale fluctuations.

6. References

- [1] W. H. Wells, "Theory of small angle scattering," (NATO, 1973).
- [2] G. D. Gilbert and R. C. Honey, "Optical turbulence in the sea," in *Underwater photo-optical instrumentation applications*., (SPIE, 1972), 49-55.
- [3] D. J. Bogucki, J. A. Domaradzki, R. E. Ecke, and C. R. Truman, "Light scattering on oceanic turbulence," Appl. Opt. 43, 5662-5668 (2004).
- [4] J. H. Churnside, "Polarization effects on oceanographic lidar," Opt Express 16, 1196-1207 (2008).
- [5] S. Woods, J. Piskozub, W. Freda, M. Jonasz, and D. Bogucki, "Laboratory measurements of light beam depolarization on turbulent convective flow," Applied Optics **49**, 3545-3551 (2010).
- [6] W. Hou, "A simple underwater imaging model," Opt. Lett. **34**, 2688-2690 (2009).
- [7] W. Hou, S. Woods, E. Jarosz, W. Goode, and A. Weidemann, "Optical turbulence on underwater image degradation in natural environments," Appl. Opt. 51, 2678-2686 (2012).
- [8] W. Hou, E. Jorosz, F. Dalgleish, G. Nootz, S. Woods, A. D. Weidemann, W. Goode, A. Vuorenkoski, B. Metzger, and B. Ramos, "Bahamas Optical Turbulence Exercise (BOTEX): preliminary results," in 2012), 837206-837206-837210.
- [9] S. Matt, W. Hou, S. Woods, E. Jarosz, W. Goode, and A. Weidemann, "Measurements of turbulent dissipation during the Bahamas Optical Turbulence Experiment," in 2013), 872405-872405.
- [10] S. Matt, W. Hou, and W. Goode, "The impact of turbulent fluctuations on light propagation in a controlled environment," in 2014), 911113-911111.
- [11] G. Liu, M. Han, and W. Hou, "High-resolution and fast-response fiber-optic temperature sensor using silicon Fabry-Pérot cavity," Optics Express 23, 7237-7247 (2015).